

Document: Notice of Intent to Adopt a Rule, **Register Page Number:** 29 IR 3044

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TITLE 710 SECURITIES DIVISION

LSA Document #06-135

Under IC 4-22-2-23, the Securities Division intends to adopt a rule concerning the following:

OVERVIEW: Amends 710 IAC 1-14-4 to remove the requirement that reports of financial condition be filed annually. The reports of financial condition still must be prepared and made available to the Securities Division upon request. Public comments are invited and should be directed to the Securities Division, Indiana Government Center-South, 302 West Washington Street, Room E111, Indianapolis, Indiana. Statutory authority: IC 23-2-1-15(f).

For purposes of IC 4-22-2-28.1, the Small Business Regulatory Coordinator for this rule is:

Jeffrey Bush

Enforcement Attorney

Indiana Secretary of State, Todd Rokita

Securities Division

Indiana Government Center-South

302 West Washington Street, Room E111

Indianapolis, IN 46204

(317) 232-6681

jabush@sos.in.gov