Document: Readopted Rules

Source: July 1, 2001, Indiana Register, Volume 24, Number 10

Disclaimer: These documents were created from the files used to produce the official (printed) Indiana Register, however, these documents are unofficial.

TITLE 710 SECURITIES DIVISION

LSA Document #01-107

DIGEST

Readopts rules in anticipation of IC 4-22-2.5-2, providing that all rules of Indiana administrative agencies in force on December 31, 1995, expire on January 1, 2002. Effective 30 days after filing with the secretary of state.

710 IAC 1-8	710 IAC 1-15
710 IAC 1-9	710 IAC 1-16
710 IAC 1-10	710 IAC 1-17
710 IAC 1-11	710 IAC 1-18
710 IAC 1-12	710 IAC 1-19
710 IAC 1-13	710 IAC 1-20
710 IAC 1-14	710 IAC 1-21

SECTION 1. UNDER 4-22-2.5-4, THE FOLLOWING ARE READOPTED:

710 IAC 1-8 General Provisions

710 IAC 1-9 Securities Registration

710 IAC 1-10 Disclosure Requirements

710 IAC 1-11 Reporting Requirements

710 IAC 1-12 Merit Standards

710 IAC 1-13 Exemptions

710 IAC 1-14 Broker-dealers

710 IAC 1-15 Agents

710 IAC 1-16 Investment Advisers

710 IAC 1-17 Standards of Practice

710 IAC 1-18 Financial Statements

710 IAC 1-19 Division Proceedings

710 IAC 1-20 Records and Investigations

710 IAC 1-21 Investigation; Rules of Practice

Notice of Public Hearing

Under IC 4-22-2-24 and IC 4-22-2.5-4, notice is hereby given that on August 8, 2001 at 10:00 a.m., at the Indiana Securities Division, Indiana Government Center-South, 302 West Washington Street, Room E111, Indianapolis, Indiana the Securities Division will hold a public hearing to readopt rules.

Requests for any part of this readoption to be separate from this action must be made in writing within 30 days of this publication. Send written comments to:

Kathleen Guymon Blackham

Chief Deputy Securities Commissioner

Indiana Government Center-South

302 West Washington Street, Room E111

Indianapolis, Indiana 46204

Copies of these rules are now on file at the Indiana Government Center-South, 302 West Washington Street, Room E111 and Legislative Services Agency, One North Capitol, Suite 325, Indianapolis, Indiana and are open for public inspection.

Bradley W. Skolnik

Securities Commissioner Securities Division