

TITLE 840 INDIANA STATE BOARD OF HEALTH FACILITY ADMINISTRATORS

NOTE: Originally adopted by the Indiana State Board of Registration and Education for Health Facility Administrators. Name changed by P.L.149-1987, SECTION 37, effective September 1, 1987.

ARTICLE 1. GENERAL PROVISIONS

Rule 1. Definitions; Licensure; Examinations

840 IAC 1-1-1 Title of rules; authority to promulgate rules (*Repealed*)

Sec. 1. (*Repealed by Indiana State Board of Health Facility Administrators; filed May 1, 2002, 10:35 a.m.: 25 IR 2861*)

840 IAC 1-1-2 Definitions

Authority: IC 25-19-1-4

Affected: IC 25-19-1-2

Sec. 2. Whenever used in this title, unless expressly otherwise stated, or unless the context or subject matter requires a different meaning, the following terms apply throughout this title:

(1) "Administrator-in-training" or "AIT" means a person who:

(A) has registered with the board prior to commencing internship; and

(B) desires to become involved in a program of professional health care training.

No person shall serve or act as an AIT without being registered with the board and shall meet any and all criteria that may be established by the board.

(2) "AIT program" means an internship of a continuous educational experience in an HF approved by the board, such program to be administered under the supervision of an individual preceptor or training center approved by the board as meeting the board's requirements for operation to manage the AIT program as a preceptor.

(3) "HF" means a licensed health facility or licensed residential facility.

(4) "HFA" means health facility administrator.

(5) "HFA license" means a license issued under IC 25-19 that grants authority to administer a licensed residential facility or a licensed health facility.

(6) "Person" means a natural individual and does not include the following:

(A) A firm.

(B) A corporation.

(C) An association.

(D) A partnership.

(E) An institution.

(F) A public body.

(G) A joint stock association.

(H) Any other group of individuals.

(7) "Practice of health facility administration" means the practice of the person designated by a legal owner or owners of health facilities to perform any act or the making any decision involved in the:

(A) planning;

(B) organizing;

(C) developing;

(D) directing; or

(E) control;

of the operation of an HF.

(8) "Preceptor" means:

(A) a duly licensed HFA or RCA who has been approved by the board under section 18 of this rule to serve in a teaching role and has the training, knowledge, professional activity, and a facility or organizational setting at his or her disposal to participate actively in the developing and refining of prospective HFAs or RCAs. This individual shall meet any and all criteria that may be established by the board; or

- (B) a training center approved by the board to oversee and manage the AIT program; or
 - (C) a university accredited by the National Association of Long-Term Care Administrator Boards approved by the HFA licensing board to oversee and manage the student internship program.
- (9) "RCA" means residential care administrator.
- (10) "RCA license" means a license issued under IC 25-19 that grants authority to administer a licensed residential facility.
- (11) "Related health care administration" means administration practiced in one (1) or more health related institutions. However, the term shall not mean any of the following:
- (A) The administration of services to an individual.
 - (B) Administrative services that do not have as a major component the supervision of more than one (1) profession or discipline.
 - (C) An administrative position in which the individual:
 - (i) has not assumed direct responsibility for; and
 - (ii) is not held accountable for;
 his or her own acts.
- (12) "Student intern" means a person who is enrolled in a bachelor's or master's degree program from a university accredited by the National Association of Long-Term Care Administrator Boards and is participating in an approved student internship in an HF.
- (13) "Student internship" means an educational experience in an HF or multiple HFs that is part of a bachelor's or master's degree program from a university accredited by the National Association of Long-Term Care Administrator Boards such experience to be administered under the supervision of a preceptor.
- (14) "Training center" means an educational center approved by the board to oversee and manage the AIT program.
- (15) "University accredited program" means a university offering a degree in health facility administration accredited by the National Association of Long-Term Care Administrator Boards and approved by the board to oversee and manage the student internship program.

(Indiana State Board of Health Facility Administrators; Rule 3; filed May 26, 1978, 9:09 a.m.: 1 IR 243; filed May 18, 1979, 9:02 a.m.: 2 IR 842; filed May 2, 1985, 10:33 a.m.: 8 IR 1146; filed Sep 29, 1987, 2:08 p.m.: 11 IR 792; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2855; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA)

840 IAC 1-1-3 License required; use of title and HFA and RCA initials

Authority: IC 25-19-1-4

Affected: IC 25-19-1-5; IC 25-19-1-11

Sec. 3. (a) No HF in this state may operate unless it is under the supervision of an HFA who holds a current valid HFA license issued by the board under this rule, except that a licensed residential care facility may be administered by an RCA.

(b) No person shall practice or offer to practice HF administration in this state or use any title, sign, card, or device to indicate that he or she is an HFA or RCA unless the person shall have been duly licensed as an HFA or RCA pursuant to the laws of this state and the rules of this board lawfully promulgated.

(c) A person, not licensed as an HFA or RCA, shall not use the title "Assistant HF Administrator" or "Assistant RC Administrator", inasmuch as the employment of this title constitutes a fraudulent inducement to the public to rely on the expertise implied by the term "administrator" and is in violation of Indiana law. The designations "assistant-to-the-administrator" and "administrative assistant" can:

- (1) serve the same purpose; and
- (2) be in compliance with the law.

(d) A person licensed as an HFA in Indiana may use the initials HFA after the name. A person licensed as an RCA in Indiana may use the initials RCA after the name. *(Indiana State Board of Health Facility Administrators; Rule 4; filed May 26, 1978, 9:09 a.m.: 1 IR 244; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2855; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA)*

840 IAC 1-1-4 Qualifications for licensure

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3

Sec. 4. (a) All applicants for licensure as an HFA must have completed, at the time of application, the requirements of IC 25-19-1-3(a)(1) and any of the following educational attainments and administrator-in-training programs:

- (1) Possession of a baccalaureate or higher degree from an accredited institution of higher learning approved by the board and completion of a required administrator-in-training program for the type of licensure pursued.
- (2) Completion of a student internship by a student intern as part of a bachelor's or master's degree program from a university accredited program.
- (3) Possession of an associate degree in health care from an accredited institution of higher learning approved by the board, completion of a specialized course of study in long-term health care administration approved by the board, and completion of a required administrator-in-training program for the type of licensure pursued.
- (4) Completion of a specialized course of study in long-term health care administration prescribed by the board and completion of a required administrator-in-training program for the type of licensure pursued.

(b) Applicants for licensure by endorsement as an HFA may request that the board consider previous experience to satisfy the requirements of subsection (a). Educational and AIT requirements may be satisfied by two (2) years of active work experience as a licensed HFA in another state. Evidence must be presented to the board demonstrating competency of practice.

(c) Applicants for licensure as an HFA may request that the board consider previous experience to satisfy the AIT requirements of subsection (a). AIT requirements may be satisfied by any of the following:

- (1) One (1) year of active work experience as a licensed HFA.
- (2) Completion of a training program required for licensure as an HFA in another state that is determined by the board to be equivalent to the AIT requirements of this state.
- (3) Completion of a residency-internship in health care administration completed as part of a degree requirement of subsection (a)(1) and (a)(2) that is determined by the board to be equivalent to the AIT requirements of this state.
- (4) One (1) year of active work experience as a chief executive officer or chief operations officer in a hospital.
- (5) A master's degree in health care administration and six (6) months of active work experience as a licensed HFA in another state.

(d) All applicants for licensure as an RCA must have completed, at the time of application, the requirements of IC 25-19-1-3(a)(1) and at least one (1) of the following educational attainments and administrator-in-training programs:

- (1) Possession of a baccalaureate or higher degree from an accredited institution of higher learning approved by the board and completion of a required administrator-in-training program for the type of licensure pursued.
- (2) Possession of an associate degree in health care from an accredited institution of higher learning approved by the board, completion of a specialized course of study in long-term health care administration approved by the board, and completion of a required administrator-in-training program for the type of licensure pursued.
- (3) Completion of a specialized course of study in long-term health care administration prescribed by the board and completion of a required administrator-in-training program for the type of licensure pursued.

(e) Applicants for licensure by endorsement as an RCA may request that the board consider previous experience to satisfy the requirements of subsection (d). Educational and AIT requirements may be satisfied by two (2) years of active work experience as a licensed residential care administrator in another state. Evidence must be presented to the board demonstrating competency of practice.

(f) Applicants for licensure as an RCA may request that the board consider previous experience to satisfy the AIT requirements of subsection (d). AIT requirements may be satisfied by any of the following:

- (1) One (1) year of active work experience as a licensed RCA.
- (2) Completion of a training program required for licensure as an RCA in another state that is determined by the board to be equivalent to the AIT requirements of this state.
- (3) Completion of a residency-internship in health care administration completed as part of a degree requirement of subsection (d)(1) and (d)(2) that is determined by the board to be equivalent to the AIT requirements of this state.
- (4) One (1) year of active work experience as a chief executive officer or chief operations officer in a hospital.
- (5) A master's degree in health care administration and six (6) months of active work experience as a licensed RCA in another

state.

(g) The board may waive portions of the required training hours, up to thirty percent (30%), for an HFA or RCA applicant, based upon criteria approved by the board, provided the applicant's experience under consideration is verifiable to the board's satisfaction. (*Indiana State Board of Health Facility Administrators; Rule 5; filed May 26, 1978, 9:09 a.m.: 1 IR 244; filed May 2, 1985, 10:33 a.m.: 8 IR 1147; filed Sep 29, 1987, 2:08 p.m.: 11 IR 793; filed Dec 22, 1987, 2:36 p.m.: 11 IR 1604; errata filed Mar 25, 1991, 4:40 p.m.: 14 IR 1626; errata filed Jul 8, 1991, 5:00 p.m.: 14 IR 2066; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2856; filed Jan 24, 2003, 1:55 p.m.: 26 IR 1943; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Dec 1, 2009, 9:12 a.m.: 20091223-IR-840090778RFA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA*)

840 IAC 1-1-5 Application for license; interview

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3; IC 25-19-1-5

Sec. 5. (a) An applicant for licensure as an HFA or RCA shall:

- (1) make application for licensure in writing on forms provided by the board; and
- (2) furnish evidence satisfactory to the board that the qualifying requirements have been met as provided for in the state licensing statutes and section 4 of this rule.

(b) The board may designate a time and place at which an applicant may be required to appear for an interview at the discretion of the board. (*Indiana State Board of Health Facility Administrators; Rule 6; filed May 26, 1978, 9:09 a.m.: 1 IR 245; filed May 2, 1985, 10:33 a.m.: 8 IR 1148; filed Sep 29, 1987, 2:08 p.m.: 11 IR 794; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2856; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-1-6 Examination

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3

Sec. 6. (a) Every applicant for a license as an HFA or RCA, after meeting the requirements for qualification as set forth in section 4 of this rule, shall pass successfully a written or oral examination, or both, at the discretion of the board that shall include, but need not be limited to, the following:

- (1) Applicable standards of environmental health and safety.
- (2) Local health and safety regulation.
- (3) General administration.
- (4) Psychology of patient care.
- (5) Principles of medical care.
- (6) Pharmaceutical services and drug handling.
- (7) Personal and social care.
- (8) Therapeutic and supportive care and services in long-term care.
- (9) Departmental organization and management.
- (10) Community interrelationships.

(b) Every applicant for an HFA or RCA license shall be required to pass the examination for the license with a grade established by the board in accordance with methods and procedures set up by the board.

(c) All applications for the examination must be complete in every respect, including accompanying data and the required fee, at least thirty (30) days before the examination for which application is being made. Any applicant whose application does not meet these requirements will not be permitted to take the examination.

(d) An applicant who does not pass the licensing examination in the first attempt shall be entitled to take it two (2) additional times. However, an applicant must successfully pass the licensure examination within one (1) calendar year from the date of sitting for the exam.

(e) If an applicant exhausts all of the examination attempts within the one (1) year allowed under subsection (d), the applicant shall appear before the board and may be required to submit the following:

- (1) Proof of the completion of at least two hundred (200) contact hours of continuing education approved by the board.
- (2) A new application for entry into the administrator-in-training program.
- (3) Proof of completion of the required administrator-in-training program.

In addition, the applicant shall meet all other licensing requirements in force and effect at the time of reapplication. (*Indiana State Board of Health Facility Administrators; Rule 7; filed May 26, 1978, 9:09 a.m.: 1 IR 246; filed May 18, 1979, 9:02 a.m.: 2 IR 842; filed May 2, 1985, 10:33 a.m.: 8 IR 1148; filed Sep 29, 1987, 2:08 p.m.: 11 IR 794; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2857; filed Feb 6, 2004, 9:15 a.m.: 27 IR 1880; filed Jul 9, 2007, 8:58 a.m.: 20070808-IR-840060513FRA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-1-7 Categories of licenses; renewal; fee (*Repealed*)

Sec. 7. (*Repealed by Indiana State Board of Health Facility Administrators; filed Sep 29, 1987, 2:08 pm: 11 IR 798*)

840 IAC 1-1-8 Disapproval; re-application (*Repealed*)

Sec. 8. (*Repealed by Indiana State Board of Health Facility Administrators; filed Sep 29, 1987, 2:08 pm: 11 IR 798*)

840 IAC 1-1-9 Suspension or revocation of license; restoration (*Repealed*)

Sec. 9. (*Repealed by Indiana State Board of Health Facility Administrators; filed Sep 29, 1987, 2:08 pm: 11 IR 798*)

840 IAC 1-1-10 Complaints and disciplinary hearings (*Repealed*)

Sec. 10. (*Repealed by Indiana State Board of Health Facility Administrators; filed Sep 29, 1987, 2:08 pm: 11 IR 798*)

840 IAC 1-1-11 Display of license

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 11. Every individual licensed as an HFA or RCA shall display his or her current license in a prominent location in that individual's principal office. (*Indiana State Board of Health Facility Administrators; Rule 12; filed May 26, 1978, 9:09 a.m.: 1 IR 247; filed Sep 29, 1987, 2:08 p.m.: 11 IR 795; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2857; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-1-12 Duplicate licenses

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 12. (a) Upon receipt of satisfactory evidence that a license has been lost, stolen, mutilated, or destroyed, the board may issue a duplicate license upon:

- (1) compliance with conditions as the board may prescribe; and
- (2) payment of a fee as determined by the board.

(b) A practitioner may hold both an HFA and RCA license provided that all requirements of this rule are met, including separate applications to be submitted with the appropriate fees for each license application and renewal. (*Indiana State Board of Health Facility Administrators; Rule 13; filed May 26, 1978, 9:09 a.m.: 1 IR 247; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2857; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-*

840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA)

840 IAC 1-1-13 Educational institutions and courses; approval by board

Authority: IC 25-19-1-4

Affected: IC 25-19-1-8

Sec. 13. Any course of study, offered by an educational institution, association, professional society, or organization for the purpose of qualifying an applicant for licensure must be approved by the board. (*Indiana State Board of Health Facility Administrators; Rule 14; filed May 26, 1978, 9:09 a.m.: 1 IR 247; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2857; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; readopted filed Dec 1, 2014, 8:32 a.m.: 20141231-IR-840140390RFA; readopted filed Nov 30, 2020, 11:55 a.m.: 20201230-IR-840200505RFA*)

840 IAC 1-1-14 Provisional licenses

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3

Sec. 14. (a) An individual may be issued a provisional HFA license for a specific licensed health facility if the individual has:

(1) at least two (2) years of administrative experience in a licensed HF; and

(2) complied with the conditions of IC 25-19-1-3(a)(1).

(b) An individual may be issued a provisional RCA license for a specific licensed residential facility if the individual has:

(1) at least two (2) years of administrative experience in a licensed HF; and

(2) complied with the conditions of IC 25-19-1-3(a)(1).

(c) Under subsections (a) and (b), the director of the board may issue a provisional license to an individual who appears to be qualified; however, this license will be subject to the approval of the board at its next following meeting, at which time the board may withdraw the provisional license if it determines that the licensee fails to meet all applicable qualifications for the license.

(d) Experience gained under provisional licensure shall not satisfy the educational or AIT requirements of section 4(a) or 4(d) of this rule. (*Indiana State Board of Health Facility Administrators; Rule 15; filed May 18, 1979, 9:02 a.m.: 2 IR 841; filed Sep 29, 1987, 2:08 p.m.: 11 IR 795; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2858; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-1-15 Program for administrator-in-training

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3; IC 25-19-1-8

Sec. 15. (a) An AIT or student intern seeking licensure as an HFA shall satisfactorily complete a course of instruction and training prescribed by the board that occurs in a licensed comprehensive care facility and that shall include, but not be limited to, the following:

(1) Standards of competent practice.

(2) Administration.

(3) Housekeeping/laundry.

(4) Facility management.

(5) Nursing.

(6) Dietary.

(7) Activities.

(8) Business office.

(9) Admissions/marketing.

(10) Overall facility management.

(b) An AIT seeking licensure as an RCA shall satisfactorily complete a course of instruction and training prescribed by the

board that occurs in a licensed residential care facility and that shall include, but not be limited to, the following:

- (1) Standards of competent practice.
- (2) Administration.
- (3) Housekeeping/laundry.
- (4) Facility management.
- (5) Nursing.
- (6) Dietary.
- (7) Activities.
- (8) Business office.
- (9) Admissions/marketing.
- (10) Overall facility management.

(c) The AIT seeking licensure as an RCA is required to complete not less than eighty (80) hours of the training program in a licensed comprehensive care facility in order to complete training in the following areas:

- (1) Medicare/Medicaid regulations and implementation thereof.
- (2) Health comprehensive care organization and coordination of services.
- (3) Assessments and care plans.
- (4) Any other areas approved by the board.

(Indiana State Board of Health Facility Administrators; Rule 16; filed May 18, 1979, 9:02 a.m.: 2 IR 841; filed Sep 12, 1985, 3:25 p.m.: 9 IR 285; filed Sep 29, 1987, 2:08 p.m.: 11 IR 795; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2858; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA)

840 IAC 1-1-16 Qualifications and duties of administrator-in-training

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3; IC 25-19-1-8

Sec. 16. (a) The AIT seeking licensure as an HFA or RCA shall:

- (1) file an AIT application with the board and be approved prior to starting the internship program; and
- (2) have met the requirements of IC 25-19-1-3(a)(1) and the educational attainments of section 4(a) of this rule at the time the application is filed.

(b) The AIT seeking licensure as an HFA shall:

- (1) observe and become familiar with the responsibilities and duties of the preceptor or approved training center and AIT;
- (2) be assigned responsibilities in each department, with experience on every shift, including weekends;
- (3) not hold a position in the facility during the hours of the AIT program;
- (4) serve as an AIT a minimum of twenty (20) hours per week, but not more than ten (10) hours daily;
- (5) complete the program in a minimum of six (6) months and a maximum of twelve (12) months for a minimum total of one thousand forty (1,040) hours;
- (6) seek and accept instruction and assistance from the preceptor or approved training center;
- (7) notify the board on suitable forms of any change of status or discontinuance of the AIT program; and
- (8) forward to the board at the end of the AIT program an affidavit stating the requirements of the program have been fulfilled.

(c) The AIT seeking licensure as an RCA shall:

- (1) observe and become familiar with the responsibilities and duties of the preceptor and AIT;
- (2) be assigned responsibilities in each department, with experience on every shift, including weekends;
- (3) not hold a position in the facility during the hours of the AIT program;
- (4) serve as an AIT a minimum of twenty (20) hours per week, but not more than ten (10) hours daily;
- (5) complete the program in a minimum of six (6) months and a maximum of twelve (12) months for a minimum total of eight hundred sixty (860) hours;
- (6) seek and accept instruction and assistance from the preceptor;
- (7) notify the board on suitable forms of any change of status or discontinuance of the AIT program; and
- (8) forward to the board at the end of the AIT program an affidavit stating the requirements of the program have been fulfilled.

(d) The AIT may serve up to twenty percent (20%) of the internship in a setting other than the preceptor's facility except an AIT in an approved training center who may exceed the twenty percent (20%) limit at the discretion of the approved training center.

(e) The board reserves the right to take appropriate action for failure of an AIT to comply with the duties enumerated above.

(f) The hours and amount of time spent in the AIT program may be reduced due to credit given by the board under section 4(g) of this rule. (*Indiana State Board of Health Facility Administrators; Rule 17; filed May 18, 1979, 9:02 a.m.: 2 IR 840; filed Sep 12, 1985, 3:25 p.m.: 9 IR 285; filed Sep 29, 1987, 2:08 p.m.: 11 IR 796; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2858; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA*)

840 IAC 1-1-16.1 Qualifications and duties of a student intern

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3; IC 25-19-1-8

Sec. 16.1. (a) The student intern seeking licensure as an HFA shall:

(1) file a student intern application with the board and be approved prior to starting the internship program; and

(2) be enrolled in a university accredited program.

(b) The student intern seeking licensure as an HFA shall:

(1) observe and become familiar with the responsibilities and duties of the university accredited program and student intern;

(2) not hold a position in the facility during the hours of the student internship program;

(3) complete the program prior to graduation;

(4) seek and accept instruction and assistance from the university accredited program and faculty, which may include other preceptors or approved training centers;

(5) notify the board on suitable forms of any change of status or discontinuance of the student internship program; and

(6) forward to the board at the end of the student internship program an affidavit stating the requirements of the program have been fulfilled.

(c) The student intern enrolled in a university accredited program which may serve the internship in multiple HFs with no minimum or maximum amount of time required to be spent in any one (1) HF.

(d) The board reserves the right to take appropriate action for failure of a student intern to comply with the duties enumerated above.

(e) The hours and amount of time spent in the student internship program may be reduced due to credit given by the board under section 4(g) of this rule. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-1-16.1; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA*)

840 IAC 1-1-17 Qualifications and duties of preceptors

Authority: IC 25-19-1-8

Affected: IC 25-19-1-12

Sec. 17. (a) The applicant for approval as a preceptor shall file a new application for each AIT applicant for whom the preceptor applicant desires to serve as a preceptor.

(b) In order to qualify as a preceptor, the applicant:

(1) shall:

(A) be a currently licensed Indiana:

(i) HFA to precept an applicant seeking licensure as an HFA or RCA; or

(ii) RCA to precept an applicant seeking licensure as an RCA;

(B) file an application with the board and be approved prior to serving as the preceptor; and

(C) have attended, within the five (5) years prior to applying to serve as a preceptor, a board approved educational program and forward to the board a certificate of completion;

(2) must:

(A) have active work experience as an HFA or RCA for at least two (2) out of the immediate preceding three (3) years

prior to the date of application;

(B) be a current CEO of a continuing care retirement community who holds a current valid HFA license; or

(C) be a current regional manager for a multifacility organization who holds a current valid HFA license or RCA and who affirms to the board that his or her physical presence at the training location is concurrent with at least sixty percent (60%) of the applicant's physical presence at the training location; and

(3) shall not have any disciplinary action taken by the board against his or her HFA or RCA license in the last two (2) years.

(c) Each approved preceptor shall:

(1) act as a teacher rather than an employer and should provide the AIT with educational opportunities;

(2) inform the board if the AIT presents any problems that may affect the facility's service and operation or the AIT's program;

(3) notify the board on suitable forms of any change of status or discontinuance of the AIT program;

(4) submit to the board at the completion of the program an affidavit, as prescribed by the board, stating that the requirements of the AIT preceptor program as stated in section 15 of this rule have been met;

(5) ensure that the records of AIT programs are maintained for a period of five (5) years, during which time the board may request review of these records; and

(6) spend a majority of the required work hours during normal daytime business hours in the facility where training is to occur, except for approved training centers and as deemed necessary to accommodate special situations or emergencies.

(d) The board reserves the right to take appropriate action for failure of a preceptor to comply with the duties enumerated above.

(e) A preceptor may not supervise more than one (1) AIT at any given time, except for when the AIT is enrolled in an approved training center or for a student intern enrolled in a university accredited program, or at the discretion of the board.

(f) The preceptor approval expires when the AIT applicant completes the course of instruction and training prescribed by the board or fails to complete the requirements of section 16 of this rule. (*Indiana State Board of Health Facility Administrators; Rule 18; filed May 18, 1979, 9:02 a.m.: 2 IR 843; filed Dec 31, 1981, 8:55 a.m.: 5 IR 398; filed Sep 12, 1985, 3:25 p.m.: 9 IR 286; filed Sep 29, 1987, 2:08 p.m.: 11 IR 796; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2859; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA*)

840 IAC 1-1-18 Temporary permits

Authority: IC 25-19-1-3.5; IC 25-19-1-8

Affected: IC 25-19-1-3.5

Sec. 18. Persons holding a valid HFA or RCA license in another state who seek licensure by endorsement in Indiana may be granted a temporary permit. Applicants for a temporary permit will be required to take the Indiana jurisprudence examination for licensure within ninety (90) days of its issuance. In addition to the provisions of IC 25-19-1-3.5, temporary permits of applicants who fail to appear for the scheduled examination will be invalidated. If the applicant presents compelling reasons to the board in writing for missing the scheduled examination, the board may allow the applicant to submit a new application for temporary permit. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-1-18; filed Dec 22, 1987, 2:37 p.m.: 11 IR 1603; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2859; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-1-19 Qualifications and duties of university accredited program preceptors

Authority: IC 25-19-1-8

Affected: IC 25-19-1-12

Sec. 19. (a) The applicant for approval as a preceptor shall file a new application for each student intern applicant for whom the preceptor applicant desires to serve as a preceptor.

(b) In order to qualify as a university accredited program preceptor, the applicant shall demonstrate a faculty of currently licensed Indiana HFAs to instruct student interns within an HF in accordance with section 15 of this rule. University accredited program faculty that are not performing instruction within an HF in accordance with section 15 of this rule are not required to be a

currently licensed Indiana HFA. Any currently licensed Indiana HFA that serves on a university accredited program's faculty for purposes of student intern instruction in accordance with section 15 of this rule shall:

- (1) have attended, within the five (5) years prior to becoming a faculty member, a board approved educational preceptor program and provide the university accredited program with a certificate of completion;
 - (2) meet any one (1) of the requirements in section 18(b)(2) *[sic]* of this rule; and
 - (3) not have any disciplinary action taken by the board against his or her HFA licensed in the last two (2) years.
- (c) Each approved university accredited program preceptor shall:
- (1) act as a teacher rather than an employer and should provide the student intern with educational opportunities;
 - (2) inform the board if the student intern presents any problems that may affect the facility's service and operation or the student internship program;
 - (3) notify the board on suitable forms of any change of status or discontinuance of the student internship program; and
 - (4) submit to the board at the completion of the program an affidavit, as prescribed by the board, stating that the requirements of the student intern/preceptor program as stated in section 15 of this rule have been met;
 - (5) ensure that the records of student internship programs are maintained for a period of five (5) years, during which time the board may request review of these records.

(d) The board reserves the right to take appropriate action for failure of a preceptor to comply with the duties enumerated above.

(e) The preceptor approval expires when the student intern applicant completes the course of instruction and training through the university accredited program prescribed by the board or fails to complete the requirements of section 16.1 of this rule. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-1-19; filed Feb 17, 2016, 10:14 a.m.: 20160316-IR-840150078FRA*)

Rule 2. Continuing Education for Renewal of License

840 IAC 1-2-1 Continuing education; credit requirements

Authority: IC 25-19-1-4

Affected: IC 25-1-4-0.2; IC 25-19-1

Sec. 1. (a) An HFA or RCA who is not currently or previously licensed in another state is not required to complete the continuing education requirements for the two (2) year licensing period in which the license was issued.

(b) An HFA or RCA must complete at least forty (40) continuing education hours during the previous two (2) year licensing period.

(c) If an HFA or RCA attends an approved program in another state with a mandatory continuing education requirement, the board will accept the approved hours.

(d) Continuing education credit may not be carried over from one (1) biennial licensure renewal period to another.

(e) The forty (40) hours biennial continuing education requirement shall not be increased or decreased unless this section is duly amended and all licensees are notified in writing at the date of license renewal that the following renewal will require an increased or decreased number of hours of continuing education.

(f) The continuing education requirement shall be satisfied by participating in programs that must be offered by approved organizations as defined under IC 25-1-4-0.2.

(g) If the licensee holds both an HFA and an RCA license, only forty (40) hours of continuing education is required for renewal of both licenses. The requirement of continuing education having been met for one (1) license will have the effect of meeting the requirements for the other license.

(h) Continuing education courses offered by accredited colleges are acceptable if the course content pertains to the practice of HF administration.

(i) Accredited college courses related to the practice of HF administration are acceptable forms of continuing education. The following conversion will be used for continuing education credit:

- (1) One (1) semester hour equals fifteen (15) contact hours.
- (2) One (1) quarter hour equals ten (10) contact hours.

(j) Service on the Indiana state board of health facility administrators earns one (1) continuing education hour for each hour of service.

(k) A maximum of twenty (20) continuing education hours may be obtained through subsections (i) and (j). (*Indiana State Board of Health Facility Administrators; 840 IAC 1-2-1; filed Jan 5, 1984, 2:33 p.m.: 7 IR 577; filed Sep 29, 1987, 2:08 p.m.: 11 IR 797; filed Feb 14, 1991, 1:30 p.m.: 14 IR 1438; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2859; filed Feb 6, 2004, 9:15 a.m.: 27 IR 1881; filed Jun 5, 2008, 11:28 a.m.: 20080702-IR-840070825FRA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-2-2 Verification of attendance

Authority: IC 25-19-1-4

Affected: IC 25-1-4; IC 25-19-1

Sec. 2. (a) The HFA or RCA shall:

(1) retain copies of certificates of completion for continuing education courses for three (3) years from the end of the licensing period for which the continuing education applied; and

(2) provide the board with copies of the certificates of completion upon the board's request for a compliance audit.

(b) Approved continuing education sponsors must retain the attendance records for a period of five (5) years, during which time the board may request review of these records.

(c) Continuing education audits and actions regarding noncompliance will be conducted as provided for in IC 25-1-4. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-2-2; filed Jan 5, 1984, 2:33 p.m.: 7 IR 577; filed Feb 14, 1991, 1:30 p.m.: 14 IR 1439; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2860; filed Jun 5, 2008, 11:28 a.m.: 20080702-IR-840070825FRA; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

840 IAC 1-2-3 Reporting of credits (*Repealed*)

Sec. 3. (*Repealed by Indiana State Board of Health Facility Administrators; filed Sep 29, 1987, 2:08 pm: 11 IR 798*)

840 IAC 1-2-4 Approval of sponsor; responsibility

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 4. (a) Sponsors of programs must file application with the board and be approved at least thirty (30) days prior to the date of the first presentation.

(b) The sponsor is responsible for monitoring attendance of programs in such a way that verification of attendance throughout the program can be reliably assured.

(c) Approval of a sponsor will be valid for a maximum period of one (1) year. All approvals expire on January 31 of each year.

(d) Under extenuating circumstances, the board may approve an application for a sponsor of continuing education programs which did not meet the thirty (30) day submission requirement. Such cases will be considered on an individual basis only. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-2-4; filed Jan 5, 1984, 2:33 p.m.: 7 IR 577; filed Feb 14, 1991, 1:30 p.m.: 14 IR 1439; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2860; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; readopted filed Dec 1, 2014, 8:32 a.m.: 20141231-IR-840140390RFA; readopted filed Nov 30, 2020, 11:55 a.m.: 20201230-IR-840200505RFA*)

840 IAC 1-2-5 Exemptions

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 5. Licensees who fail to comply with the continuing education requirements of the board shall not be issued a renewal license, except for the following exemptions:

(1) An HFA or RCA who holds a valid license, but submits a statement from a physician or surgeon stating that the licensee is unable to practice due to illness or physical disability.

(2) An HFA or RCA on active military duty.

(3) Other circumstances determined by the board.

(Indiana State Board of Health Facility Administrators; 840 IAC 1-2-5; filed Jan 5, 1984, 2:33 p.m.: 7 IR 578; filed Sep 29, 1987, 2:08 p.m.: 11 IR 797; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2861; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA)

840 IAC 1-2-5.1 Inactive status

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 5.1. An H.F.A. may apply to the board to renew his or her license in an inactive status. No continuing education is required to renew inactive. An inactive H.F.A. may not practice health facility administration while in an inactive status. *(Indiana State Board of Health Facility Administrators; 840 IAC 1-2-5.1; filed Jun 5, 2008, 11:28 a.m.: 20080702-IR-840070825FRA; readopted filed Dec 1, 2014, 8:32 a.m.: 20141231-IR-840140390RFA; readopted filed Nov 30, 2020, 11:55 a.m.: 20201230-IR-840200505RFA)*

840 IAC 1-2-5.2 Reactivation of inactive license

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 5.2. (a) A licensed H.F.A. who has been inactive for a period of three (3) years at the date of the reactivation application is filed must show proof of having completed forty (40) hours of continuing education within the two (2) year period immediately before to the date the reactivation application is filed.

(b) A licensed H.F.A. who has been inactive for a period of more than three (3) years at the date the reactivation application is filed must:

(1) make a personal appearance before the board;

(2) show proof of having completed forty (40) hours of continuing education;

(3) provide employment history during inactive period; and

(4) do any other additional requirements as deemed by the board;

within the two (2) year period immediately before to the date the reactivation application is filed. *(Indiana State Board of Health Facility Administrators; 840 IAC 1-2-5.2; filed Jun 5, 2008, 11:28 a.m.: 20080702-IR-840070825FRA; readopted filed Dec 1, 2014, 8:32 a.m.: 20141231-IR-840140390RFA; readopted filed Nov 30, 2020, 11:55 a.m.: 20201230-IR-840200505RFA)*

840 IAC 1-2-6 Persons exempt from fee

Authority: IC 25-19-1-4

Affected: IC 25-19-1

Sec. 6. (a) The board shall exempt the following from payment of a fee for continuing education sponsorship:

(1) Any state or federal agency.

(2) Any state funded school.

(b) Exemption from payment of a fee for continuing education sponsorship does not relieve the sponsor of any other requirements or duties prescribed by law. *(Indiana State Board of Health Facility Administrators; 840 IAC 1-2-6; filed May 2, 1985, 10:33 a.m.: 8 IR 1150; filed Sep 29, 1987, 2:08 p.m.: 11 IR 797; filed Feb 14, 1991, 1:30 p.m.: 14 IR 1439; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2861; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA)*

840 IAC 1-2-7 Administrator-in-training program; preceptor experience credit

Authority: IC 25-19-1-4

Affected: IC 25-19-1-3; IC 25-19-1-8

Sec. 7. Two (2) credit hours of continuing education will be granted for each complete month an HFA or RCA has served as preceptor for an approved AIT program. Not more than twenty (20) hours per renewal cycle may be earned using this method. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-2-7; filed Sep 12, 1985, 3:25 p.m.: 9 IR 286; filed Sep 29, 1987, 2:08 p.m.: 11 IR 798; readopted filed May 1, 2002, 10:35 a.m.: 25 IR 2861; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; filed Jan 27, 2009, 9:50 a.m.: 20090225-IR-840080216FRA; readopted filed Nov 13, 2015, 11:18 a.m.: 20151209-IR-840150244RFA; readopted filed Oct 5, 2021, 7:56 a.m.: 20211103-IR-840210377RFA*)

Rule 3. Schedule of Fees

840 IAC 1-3-1 Fees (Repealed)

Sec. 1. (*Repealed by Indiana State Board of Health Facility Administrators; filed Dec 26, 2001, 2:47 p.m.: 25 IR 1634*)

840 IAC 1-3-2 Fees

Authority: IC 25-1-8-2; IC 25-19-1-8; IC 25-19-1-12

Affected: IC 25-19-1-5; IC 25-19-1-9

Sec. 2. (a) The board shall charge and collect the following fees:

Application for licensure	\$100
Application to repeat jurisprudence examination	\$100
Application to repeat national examination	\$50
License renewal	\$100 biennially
Provisional license	\$100
Preceptor application	\$50
Temporary permit	\$50
Verification of licensure	\$10
Duplicate wall license	\$10
Application for continuing education sponsorship	\$100
Continuing education sponsorship renewal	\$100 annually

(b) Applicants required to take the national examination for licensure shall pay a fee directly to a professional examination service in the amount set by the examination service. (*Indiana State Board of Health Facility Administrators; 840 IAC 1-3-2; filed Dec 26, 2001, 2:47 p.m.: 25 IR 1634; readopted filed Sep 26, 2008, 10:51 a.m.: 20081015-IR-840080338RFA; readopted filed Dec 1, 2014, 8:32 a.m.: 20141231-IR-840140390RFA; readopted filed Nov 30, 2020, 11:55 a.m.: 20201230-IR-840200505RFA*)

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