ARTICLE 5. ACCESS TO HEALTH RECORDS

Rule 1. Definitions; Application

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60 IAC 5-1-1Definitions60 IAC 5-1-2Release of records60 IAC 5-1-3IARA privacy committee

60 IAC 5-1-1 Definitions

Authority: IC 5-15-5.1-20

Affected: IC 5-15-5.1; IC 16-18-2-168; IC 16-18-2-226

Sec. 1. As used in this article, unless the context clearly requires another meaning, the following definitions apply throughout this article:

- (1) "Health records" has the definition set forth in IC 16-18-2-168.
- (2) "Mental health records" has the definition set forth in IC 16-18-2-226.

(Oversight Committee on Public Records; 60 IAC 5-1-1; filed Oct 28, 2021, 8:51 a.m.: 20211124-IR-060200633FRA)

60 IAC 5-1-2 Release of records

Authority: <u>IC 5-15-5.1-20</u>; <u>IC 16-39-2-6</u>; <u>IC 16-39-5-3</u>

Affected: <u>IC 5-15-5.1</u>

Sec. 2. (a) Researchers requesting access to restricted health records, including mental health records, must submit a written application to the Indiana archives and records administration (IARA) privacy committee. The application must include:

- (1) the records to be used;
- (2) why the records are necessary for the research; and
- (3) an explanation of how identities will be concealed.
- (b) IARA may provide access to health records for preparatory use only without approval by the IARA privacy committee. (Oversight Committee on Public Records; 60 IAC 5-1-2; filed Oct 28, 2021, 8:51 a.m.: 20211124-IR-060200633FRA)

60 IAC 5-1-3 IARA privacy committee

Authority: IC 5-15-5.1-20; IC 16-39-2-6; IC 16-39-5-3

Affected: IC 5-15-5.1

Sec. 3. (a) The IARA privacy committee must include:

- (1) two (2) members of the Indiana state archives division;
- (2) the executive director of the Indiana archives and records administration;
- (3) the director of the family and social services administration division of mental health and addiction, or the director's designee; and
- (4) the director of the Indiana state library, or the director's designee.
- (b) The IARA privacy committee shall respond to the research request within thirty (30) days of receiving the request.
- (c) The IARA privacy committee must reach a majority for approval of the submitted application.
- (d) The IARA privacy committee shall provide a statement to the requestor explaining the decision. The decision is final, although the committee may allow the requestor to resubmit an application. (Oversight Committee on Public Records; 60 IAC 5-1-3; filed Oct 28, 2021, 8:51 a.m.: 20211124-IR-060200633FRA)

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