## ARTICLE 8.6. SEWER TRACKER

### Rule 1. General Provisions

**170 IAC 8.6-1-1 Definitions**

Authority: IC 8-1-1-3; IC 8-1-2-42; IC 8-1-2-47
Affected: IC 8-1-1-3; IC 8-1-2-1; IC 8-1-2-42

Sec. 1. (a) "Commission" means the Indiana utility regulatory commission.

(b) "Sewer utility" means a utility engaged in supplying sewage disposal service to the public at rates which are subject to the jurisdiction of this commission, and which purchases sewage disposal service from another utility.

(c) "Supplier" means a utility supplying sewage disposal service to a sewer utility.

(d) "Sewer tracker" means the mechanism available to a sewer utility to recover an increase in costs, or refund a decrease in costs associated with a change in supplier's rates.

(e) "Application for sewer tracker" means an application submitted to the engineering division of the commission in accordance with 170 IAC 8.6-1-2 and in accordance with IC 8-1-2-42, which functions as a request, or in support of a request for a sewer tracker.

(f) "Sewer tracker application form" means that current set of forms, schedules, blanks, and instructions generated by the engineering division of the commission and made available to those who would request an increase or decrease under 170 IAC 8.6-1-2. The staff shall develop the set of forms, schedules, blanks, and instructions which comprise the sewer tracker form. Such forms will be revised from time to time as circumstances dictate. *(Indiana Utility Regulatory Commission; 170 IAC 8.6-1-1; filed Dec 15, 1987, 1:14 pm: 11 IR 1505; readopted filed Jul 11, 2001, 4:30 p.m.: 24 IR 4233; readopted filed Apr 24, 2007, 8:21 a.m.: 20070509-IR-170070147RFA)*

**170 IAC 8.6-1-2 Filing requirements**

Authority: IC 8-1-1-3; IC 8-1-2-42; IC 8-1-2-47
Affected: IC 8-1-1-3; IC 8-1-2-42

Sec. 2. (a) Any sewer utility availing itself of this procedure must submit an application for a sewer tracker to the engineering division in care of the secretary of the commission. Said application must include:

(1) A copy of the proposed written notice required in (b) of this section. Proof of publication of the actual notice required in (b) of this section must be submitted prior to final approval of the sewer tracker.

(2) A complete sewer tracker application form in its current version, which form is available from the engineering division of the commission.

(3) A copy of the resolution or ordinance of the utility's governing body or minutes of the meeting which authorizes the application for sewer tracker.

(4) A verified statement by an officer or manager of the sewer utility detailing the amount of the sewer tracker and the effective date of the supplier's rate change.

(5) Two copies of the sewer utility's current tariff with reference to Appendix "A" and two copies of Appendix "A".

(b) Notice requirements for this procedure comprise of publication of a notice of filing of the application for sewer tracker, within ten days of the filing of the application for sewer tracker with the commission, in a newspaper of general circulation in any and all counties in which the utility renders service, which notice will advise the public of the following:

(1) The date the application for rate change was filed with the commission.

(2) The effective date of the rate change to the sewer utility by the supplier.

(3) That the rate change shall apply to the next practical consumption period following final approval by the commission in accordance with IC 8-1-2-42.

*(Indiana Utility Regulatory Commission; 170 IAC 8.6-1-2; filed Dec 15, 1987, 1:14 pm: 11 IR 1505; readopted filed Jul 11, 2001, 4:30 p.m.: 24 IR 4233; readopted filed Apr 24, 2007, 8:21 a.m.: 20070509-IR-170070147RFA)*

**170 IAC 8.6-1-3 Processing**

Authority: IC 8-1-1-3; IC 8-1-2-47
Affected: IC 8-1-1-3

Sec. 3. The commission staff will review the application for sewer tracker. The staff review will include but shall not be limited

to the following:

(1) Whether the revised sewage disposal service costs are true and correct.

(2) Whether the proposed revised rates filed by the sewer utility are no more than sufficient to offset the changed rates of the supplier.

(3) Whether the utility's calculations are mathematically accurate.

(4) The effective date of the supplier's rates and the nature and permanency thereof.

(5) Whether an investor-owned sewer utility is earning a return in excess of the return authorized in the sewer utility's last rate case.

*(Indiana Utility Regulatory Commission; 170 IAC 8.6-1-3; filed Dec 15, 1987, 1:14 pm: 11 IR 1506; readopted filed Jul 11, 2001, 4:30 p.m.: 24 IR 4233; readopted filed Apr 24, 2007, 8:21 a.m.: 20070509-IR-170070147RFA)*

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