

ARTICLE 14.5. QUARTER HORSE DEVELOPMENT PROGRAM

Rule 1. Indiana Bred Quarter Horse Breed Development Program

71 IAC 14.5-1-1 Indiana bred quarter horse

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) Indiana bred quarter horse means any properly registered quarter horse foaled in Indiana and whose dam was registered with the commission.

(b) The mare must:

(1) Have entered Indiana by November 1, 2008, and by July 1, 2009, and each year thereafter in the year prior to foaling.

(2) Remain in Indiana continuously until foaling.

The resulting foal will then be eligible to be registered as an Indiana bred.

(c) In the event a mare entered Indiana and was registered with the commission after November 1, 2008, and by July 1, 2009, and each year thereafter in the year prior to foaling, the foal (which must be foaled in Indiana) may be eligible to be registered as an Indiana bred.

(d) The mare must be bred back to a registered Indiana stallion in the year of foaling for the foal to qualify as an Indiana bred.

(e) If the mare fails to conceive when bred or is unfit to breed due to health reasons, a veterinarian certificate is required from a licensed Indiana veterinarian.

(f) If the mare does not conceive, it must:

(1) remain open for that breeding season in order for the mare's current foal to be eligible to be registered as an Indiana bred;

(2) remain in Indiana for a period of thirty (30) days from the foaling date; and

(3) the mare and foal must be inspected by a commission representative prior to leaving the state.

(g) An Indiana bred quarter horse foaled prior to the year 2002 will require that the breeder must be a resident of Indiana as noted on the American Quarter Horse Association registration certificate. These horses must be registered with the commission by September 1, 2002, or will not be eligible for the Indiana bred program.

(h) The horse must be registered with the commission prior to being entered in an Indiana bred conditioned race.

(i) The breeder of a registered Indiana bred shall be the owner of the dam at the time of the dam's registration with the breed development program. (*Indiana Horse Racing Commission; 71 IAC 14.5-1-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; emergency rule filed Aug 23, 2001, 9:58 a.m.: 25 IR 123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Nov 29, 2001, 1:23 p.m.: 25 IR 1190; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; emergency rule filed Apr 4, 2013, 1:05 p.m.: 20130410-IR-071130134ERA*)

71 IAC 14.5-1-2 Indiana foaled quarter horse

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 2. Indiana foaled quarter horse means a horse whose American Quarter Horse Association registration certificate indicates Indiana as the state where the horse was foaled prior to the year 2002. A horse must be registered with the commission and a certificate issued prior to entry into an Indiana foaled conditioned race. These horses must be registered with the commission by September 1, 2002, or will not be eligible for the Indiana bred program. (*Indiana Horse Racing Commission; 71 IAC 14.5-1-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Nov 29, 2001, 1:23 p.m.: 25 IR 1191; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA*)

71 IAC 14.5-1-3 Indiana owned quarter horse (Repealed)

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Sec. 3. *(Repealed by Indiana Horse Racing Commission; emergency rule filed Apr 24, 2006, 11:11 a.m.: 29 IR 3035)*

71 IAC 14.5-1-4 Indiana sired quarter horse

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 4. "Indiana sired" means a foal sired by a registered Indiana stallion properly registered with the Indiana horse racing commission as outlined in 71 IAC 14.5-2-3 and whose mare must fulfill the requirements of 71 IAC 14.5-1-1 [section 1 of this rule]. *(Indiana Horse Racing Commission; 71 IAC 14.5-1-4; emergency rule filed Jan 25, 2012, 12:20 p.m.: 20120201-IR-071120056ERA)*

Rule 2. Registration

71 IAC 14.5-2-1 Mare registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) In order to be eligible to register a quarter horse foal as Indiana bred, such foal must be:

- (1) foaled in Indiana and its dam must be registered with the commission;
- (2) registered and have entered Indiana by November 1, 2008, by July 1, 2009, and each year thereafter; and
- (3) remain in Indiana continuously until foaling.

(b) A current copy of the mare's American Quarter Horse Association registration certificate or front and back of the mare's Jockey Club papers along with lease agreements are to be included with the registration.

(c) In the event a mare entered Indiana or is registered with the commission after November 1, 2008, by July 1, 2009, and each year thereafter, the foal (which must be foaled in Indiana) may be eligible to be registered as an Indiana bred. To be eligible, the mare must be:

- (1) bred back to a registered Indiana stallion in the year of foaling for the foal to qualify as an Indiana bred; and
- (2) the stallion must be registered with the commission in the year the foal was conceived.

(d) If the mare fails to conceive when bred or is unfit to breed due to health reasons, a veterinarian certificate is required from a licensed veterinarian.

(e) If the mare does not conceive, she must:

- (1) remain open for that breeding season in order for the mare's current foal to be eligible to be registered as an Indiana bred; and
- (2) remain in Indiana for a period of thirty (30) days from the foaling date and the mare and foal must be inspected by a commission representative prior to leaving the state.

(f) The commission must be notified in writing and provide proper documentation for any mare and/or foal leaving the state for medical treatment.

(g) Mares in foal must be reregistered every year. *(Indiana Horse Racing Commission; 71 IAC 14.5-2-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1036; emergency rule filed Aug 23, 2001, 9:58 a.m.: 25 IR 123; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; errata filed Feb 18, 2008, 2:03 p.m.: 20080305-IR-071080056ACA; readopted filed Nov 21, 2014, 2:25 p.m.: 20141217-IR-071140403RFA)*

71 IAC 14.5-2-1.5 Embryo transfer registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1.5. (a) In order to be eligible to register quarter horse embryo transfer foals as Indiana bred:

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- (1) The foals must be foaled in Indiana.
- (2) The donor mare and recipient mare must:
 - (A) be registered with the commission; and
 - (B) have entered Indiana by November 1, 2008, and by July 1, 2009, each year thereafter.
- (3) All mares must remain in Indiana continuously until foaling except if the donor mare and recipient mare(s) are registered and reside in the state by July 1, in which case the donor mare may leave the state to flush embryos. The commission must be notified in writing prior to the time when the mare leaves.
 - (b) In the event a mare entered Indiana or is registered with the commission after July 1, the foal (which must be foaled in Indiana) may be eligible to be registered as an Indiana bred. To be eligible:
 - (1) the donor mare must be bred back to a registered Indiana stallion in the year of foaling for the foal to qualify as an Indiana bred; and
 - (2) the stallion must be registered with the commission in the year the foal was conceived. If the donor mare and recipient mare(s) arrive after July 1, the donor mare and recipient mare(s) must reside in the state until June 30th of the foaling year.
 - (c) If the mare fails to conceive when bred or is unfit to breed due to health reasons, a veterinarian certificate is required from a licensed veterinarian.
 - (d) If the mare does not conceive, she must remain:
 - (1) open for that breeding season in order for the mare's current foal to be eligible to be registered as an Indiana bred; and
 - (2) in Indiana for a period of thirty (30) days from the foaling date and the mare and foal must be inspected by a commission representative prior to leaving the state.
 - (e) In the event there is more than one (1) recipient mare:
 - (1) Each recipient mare and foal must be properly registered.
 - (2) There must be at least one (1) foal sired by a registered Indiana stallion in every other foal crop produced from the donor mare. If the mare fails to produce a live sired foal, then medical reports must be submitted to show an attempt to produce a sired foal was made.
 - (f) A copy of the donor mare registration papers and all lease agreements must accompany the application for identification and ownership purposes.
 - (g) The recipient mare must be able to be identified by:
 - (1) Indiana breed development identification form;
 - (2) American Quarter Horse Association certificate of registration.
 - (h) The donor mare may leave the state to participate in:
 - (1) stakes;
 - (2) medical care; or
 - (3) a mare leaving the state for an advertised public sale may:
 - (A) be gone for the interval of the sale, but must return to Indiana within fifteen (15) days of her sale; and
 - (B) written documentation of the sale is required.
 - (i) The director of breed development must be notified in writing within fifteen (15) days of the departure and return of the advertised public sale.
 - (j) All mares in foal must be registered every year. (*Indiana Horse Racing Commission; 71 IAC 14.5-2-1.5; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; errata filed Feb 18, 2008, 2:03 p.m.: 20080305-IR-071080056ACA; emergency rule filed Jan 25, 2012, 12:20 p.m.: 20120201-IR-071120056ERA; emergency rule filed Feb 25, 2015, 2:55 p.m.: 20150304-IR-071150055ERA*)

71 IAC 14.5-2-2 Foal registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 2. In order to be eligible to register a foal of 2002 and future as an Indiana bred with the commission, a completed application must be on file with the commission within twelve (12) months of the horse's foaling date. If the foal is not registered

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by the required date, a late fee will be assessed in accordance with the following schedule:

- (1) Twelve (12) months plus one (1) day to December 31 of yearling year, two hundred dollars (\$200).
- (2) Two (2) year old year, four hundred dollars (\$400).
- (3) Three (3) year old year, one thousand dollars (\$1,000) and beyond.

(Indiana Horse Racing Commission; 71 IAC 14.5-2-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; readopted filed Nov 26, 2013, 11:25 a.m.: 20131225-IR-071130345RFA)

71 IAC 14.5-2-3 Stallion registration

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 3. (a) In order to be eligible to register a stallion with the commission, a completed application must be on file with the commission on or before November 1 of each year.

(b) Each registered stallion must remain in Indiana the entire breeding season (February 1 through July 1).

(c) New stallions arriving late cannot have covered any mares in another state during the same year.

(d) Quarter horse stallions may breed both quarter horse and thoroughbred mares.

(e) Thoroughbred stallions may breed quarter horse mares. Thoroughbred stallions breeding quarter horse mares must be registered in the quarter horse registry.

(f) A stallion must be registered with the commission the year of the foal's conception to be eligible for sire races and stallion awards.

(g) Stallions must be registered each year. Any living or deceased stallion having sired foals while standing in the state of Indiana prior to the year 2001 must have on file with the commission an application covering the years it stood in the state to be eligible for sire races and stallion awards.

(h) Mare's bred reports must be on file with the commission by December 1 of each year.

(i) A copy of lease agreements must accompany the application.

(j) There will be a one hundred dollar (\$100) late fee for all applications filed after the deadline.

(k) This rule in no way restricts the shipment and use of cooled semen.

(l) Only those stallions standing in Indiana and properly registered with the Indiana horse racing commission are eligible for stallion breed development awards. *(Indiana Horse Racing Commission; 71 IAC 14.5-2-3; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; emergency rule filed Jul 19, 2010, 12:22 p.m.: 20100728-IR-071100480ERA; readopted filed Sep 21, 2016, 11:02 a.m.: 20161019-IR-071160203RFA)*

Rule 3. Awards

71 IAC 14.5-3-1 Owner awards

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) An owner award is the award paid to the owner of a registered Indiana bred quarter horse which places first, second, or third in any race except trials and claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed pari-mutuel racetrack in Indiana.

(b) In the event of multiple owners, the award will be paid to the individual listed first on the Equibase result chart. It is the responsibility of the individual who receives the owner award to distribute these monies to the remaining owners.

(c) For Indiana bred races the amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

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- (1) fifty percent (50%) is awarded to the winner, not to exceed five thousand dollars (\$5,000);
- (2) thirty percent (30%) is awarded to second place, not to exceed three thousand dollars (\$3,000); and
- (3) twenty percent (20%) is awarded to third place, not to exceed two thousand dollars (\$2,000).
- (d) For open races the amount of the award is six and one-quarter percent (6.25%) of the gross purse, distribution is:
 - (1) fifty percent (50%) is awarded to the winner, not to exceed two thousand five hundred dollars (\$2,500);
 - (2) thirty percent (30%) is awarded to second place, not to exceed one thousand five hundred dollars (\$1,500);
 - (3) twenty percent (20%) is awarded to third place, not to exceed one thousand dollars (\$1,000).

(e) Awards will be paid by the commission. (*Indiana Horse Racing Commission 71 IAC 14.5-3-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Apr 24, 2006, 11:11 a.m.: 29 IR 3034; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; emergency rule filed Apr 4, 2013, 1:05 p.m.: 20130410-IR-071130134ERA; emergency rule filed Feb 3, 2017, 2:24 p.m.: 20170208-IR-071170051ERA*)

71 IAC 14.5-3-2 Breeder awards

Authority: IC 4-31-3-9
Affected: IC 4-31

Sec. 2. (a) A breeder award is the award paid to the breeder of a registered Indiana bred quarter horse which places first, second, or third in any race except trials and claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed pari-mutuel racetrack located in Indiana.

(b) In the event of multiple breeders, the award will be paid to the individual designated as the recipient on the foal application. It is the responsibility of the designated recipient to distribute these monies to the remaining breeders.

(c) For Indiana bred races the amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

- (1) fifty percent (50%) is awarded to the winner, not to exceed five thousand dollars (\$5,000);
- (2) thirty percent (30%) is awarded to second place, not to exceed three thousand dollars (\$3,000); and
- (3) twenty percent (20%) is awarded to third place, not to exceed two thousand dollars (\$2,000).
- (d) For open races the amount of the award is six and one-quarter percent (6.25%) of the gross purse, distribution is:
 - (1) fifty percent (50%) is awarded to the winner, not to exceed two thousand five hundred dollars (\$2,500);
 - (2) thirty percent (30%) is awarded to second place, not to exceed one thousand five hundred dollars (\$1,500);
 - (3) twenty percent (20%) is awarded to third place, not to exceed one thousand dollars (\$1,000).
- (e) Awards will be paid by the commission.

(f) For breeder awards earned January 1, 2013, and thereafter, the recipient is the owner of the dam at the time of the dam's registration with the breed development program. (*Indiana Horse Racing Commission; 71 IAC 14.5-3-2; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; errata filed Feb 9, 2001, 3:38 p.m.: 24 IR 2091; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; errata filed Feb 18, 2008, 2:03 p.m.: 20080305-IR-071080056ACA; emergency rule filed Apr 4, 2013, 1:05 p.m.: 20130410-IR-071130134ERA; emergency rule filed Feb 3, 2017, 2:24 p.m.: 20170208-IR-071170051ERA*)

71 IAC 14.5-3-3 Stallion owner awards

Authority: IC 4-31-3-9
Affected: IC 4-31

Sec. 3. (a) A stallion owner award is the award paid to the owner or lessee of a registered Indiana stallion whose registered progeny places first, second, or third in any race except trials and claiming races when entered for a claiming price of less than five thousand dollars (\$5,000) or any speed index race, at a licensed pari-mutuel racetrack located in Indiana.

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(b) In the event of multiple stallion owners, the award will be paid to the individual designated as the recipient on the stallion application. It is the responsibility of the designated recipient to distribute these monies to the remaining stallion owners.

(c) For Indiana bred races the amount of the award is twelve and one-half percent (12.5%) of the gross purse, distribution is:

- (1) fifty percent (50%) is awarded to the winner, not to exceed five thousand dollars (\$5,000);
 - (2) thirty percent (30%) is awarded to second place, not to exceed three thousand dollars (\$3,000); and
 - (3) twenty percent (20%) is awarded to third place, not to exceed two thousand dollars (\$2,000).
- (d) For open races the amount of the award is six and one-quarter percent (6.25%) of the gross purse, distribution is:
- (1) fifty percent (50%) is awarded to the winner, not to exceed two thousand five hundred dollars (\$2,500);
 - (2) thirty percent (30%) is awarded to second place, not to exceed one thousand five hundred dollars (\$1,500);
 - (3) twenty percent (20%) is awarded to third place, not to exceed one thousand dollars (\$1,000).
- (e) Awards will be paid by the commission.
- (f) The award will be paid to the owner or lessee of the registered stallion at time of conception.

(g) No stallion standing outside Indiana and shipping semen into the state will be eligible to participate in any stallion breed development awards. (*Indiana Horse Racing Commission; 71 IAC 14.5-3-3; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1037; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Mar 27, 2002, 10:27 a.m.: 25 IR 2539; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; emergency rule filed Apr 4, 2013, 1:05 p.m.: 20130410-IR-071130134ERA; emergency rule filed Feb 3, 2017, 2:24 p.m.: 20170208-IR-071170051ERA*)

71 IAC 14.5-3-4 Purse supplement in open races (Repealed)

Sec. 4. (*Repealed by Indiana Horse Racing Commission; emergency rule filed Feb 25, 2015, 2:55 p.m.: 20150304-IR-071150055ERA*)

71 IAC 14.5-3-5 Open stakes bonus (Repealed)

Sec. 5. (*Repealed by Indiana Horse Racing Commission; emergency rule filed Apr 4, 2013, 1:05 p.m.: 20130410-IR-071130134ERA*)

71 IAC 14.5-3-6 Sired purse supplement (Repealed)

Sec. 6. (*Repealed by Indiana Horse Racing Commission; emergency rule filed Feb 25, 2015, 2:55 p.m.: 20150304-IR-071150055ERA*)

Rule 4. Restricted Races

71 IAC 14.5-4-1 Restricted races

Authority: IC 4-31-3-9
Affected: IC 4-31

Sec. 1. The commission, acting upon advice of the quarter horse development advisory committee, shall approve each year a schedule of overnight races and stake races restricted to Indiana bred or foaled. Such schedule shall include monies distributed from the quarter horse development fund as purse supplements. (*Indiana Horse Racing Commission; 71 IAC 14.5-4-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1038; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; readopted filed Mar 23, 2007, 11:31 a.m.: 20070404-IR-071070030RFA; emergency rule filed Jan 24, 2008, 10:58 a.m.: 20080206-IR-071080056ERA, eff Jan 23, 2008 [IC 4-22-2-37.1 establishes the effectiveness of an emergency rule upon filing with the Publisher. LSA Document #08-56(E) was filed with the Publisher January 24, 2008.]; readopted filed Nov 21, 2014, 2:25 p.m.: 20141217-IR-071140403RFA*)

Rule 5. Indiana Bred or Foaled Preference

71 IAC 14.5-5-1 Indiana bred or foaled preference

Authority: IC 4-31-3-9

Affected: IC 4-31

Sec. 1. (a) A registered Indiana bred or foaled that receives a R-date or an E-date will receive starter preference over a non-Indiana bred or foaled with an equal R-date or E-date. Such preference shall apply in all races not restricted to Indiana bred or foaled stake races excepted. Indiana bred or foaled will not receive starter preference over non-Indiana bred or foaled with better R-dates, E-dates, or zero-dates.

(b) A registered Indiana bred that enters or races in an open race will retain their previous Indiana bred preference date when returning to a restricted Indiana bred race. Such a horse, when entering in consecutive open races, shall retain the preference date of its most recent open race. (*Indiana Horse Racing Commission; 71 IAC 14.5-5-1; emergency rule filed Nov 15, 2000, 11:40 a.m.: 24 IR 1038; readopted filed Oct 30, 2001, 11:50 a.m.: 25 IR 899; emergency rule filed Apr 24, 2006, 11:11 a.m.: 29 IR 3035; emergency rule filed Mar 3, 2011, 11:50 a.m.: 20110309-IR-071110100ERA; emergency rule filed May 16, 2012, 2:15 p.m.: 20120523-IR-071120267ERA*)

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