NATURAL RESOURCES COMMISSION

Information Bulletin #73 (First Amendment)

SUBJECT: Dispute resolution services for other state and local governmental entities

1. Purpose

The purpose of this information bulletin is to authorize, under <u>IC 35-44.1-1-3</u>(f), an employee of the division of hearings to serve as mediator, administrative law judge, hearing officer, or in a similar capacity to assist with dispute resolution on behalf of another governmental entity during normal hours of employment.

2. Definitions

The following definitions apply:

(A) "Another governmental entity" means a state agency or local governmental agency other than an exempted agency.

- (B) "Chair" means the chair of the commission.
- (C) "Commission" means the natural resources commission established by IC 14-10-1-1.
- (D) "Division of hearings" refers to the commission's division of hearings authorized by <u>IC 14-10-2-2</u>.
- (E) "Exempted agency" includes each of the following:
 - (1) Advisory council established by <u>IC 14-9-6-1</u>.
 - (2) Board of licensure for professional geologists established by IC 25-17.6-2-1.
 - (3) Board of registration for soil scientists established by <u>IC 25-31.5-2-1</u>.
 - (4) Commission.

(5) Consolidated proceeding conducted jointly by the division of hearings and the office of environmental adjudication under <u>IC 14-10-2-2.5</u>.

(6) Historic preservation review board established by <u>IC 14-21-1-20</u>.

(F) "Vice chair" means the vice chair of the commission.

3. Statutory authority

<u>IC 35-44.1-1-3</u> addresses prohibited ghost employment by government employees. Subsection (f) provides an exception from ghost employment for qualified voluntary services as follows:

- ...an employee of a governmental entity who voluntarily performs services:
 - (1) that do not:
 - (A) promote religion;
 - (B) attempt to influence legislation or governmental policy; or
 - (C) attempt to influence elections to public office;
 - (2) for the benefit of:
 - (A) another governmental entity; or

(B) an organization that is exempt from federal income taxation under Section 501(c)(3) of the Internal Revenue Code;

- (3) with the approval of the employee's supervisor; and
- (4) in compliance with a policy or regulation that:
 - (A) is in writing;
 - (B) is issued by the executive officer of the governmental entity; and
 - (C) contains a limitation on the total time during any calendar year that the employee may spend
 - performing the services during normal hours of employment;

is considered to be performing duties related to the operation of the governmental entity.

4. Written statement of approval for service to another governmental entity

Indiana Register

The commission grants written approval under <u>IC 35-44.1-1-3</u>(f), for service which is considered performing commission duties and does not constitute ghost employment, as follows:

(A) Except as otherwise provided in this information bulletin, an employee of the division of hearings is authorized to spend no more than a total of 75 hours in a calendar year serving as mediator, administrative law judge, hearing officer, or a similar capacity to assist with dispute resolution on behalf of another governmental entity.

(B) The chair may approve a written agreement between the commission and another state agency in which services are reciprocated. Reciprocal service time provided by the other state agency to the commission is added to the maximum service authorization of an employee under Part (A).

(C) At the request of another agency supervisor, the chair (or the vice chair, in the absence of the chair) may approve the assignment of an employee to serve as mediator or administrative law judge for one proceeding in a calendar year. The hours served under this Part are not limited by Part (A) but shall not exceed 75 additional hours without reimbursement to the commission or a written waiver of reimbursement.

5. Service to an exempted agency

Service by a division of hearings employee to an exempted agency is a duty within the ordinary scope of employment and does not constitute ghost employment.

6. History

This subject was addressed initially by an internal document approved by the chair on November 18, 2013. The information bulletin was originally published in the Indiana Register on April 2, 2014 (<u>20140402-IR-312140099NRA</u>). This first amendment removes the requirement of post-implementation review by the commission.

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